



08/635130

Attorney Docket P1001  
PATENT

## CERTIFICATION UNDER 37 CFR 1.10

EM 578 608 146 US: Express Mail Number

April 19, 1996: Date of Deposit

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231.

  
Timothy E. Torchia

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

BOX PATENT APPLICATION  
Assistant Commissioner of Patents  
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): Ingrid W. CarasFor: **AL-2 NEUROTROPHIC FACTORS**1. **Type of Application**This new application is for a(n) X original \_ continuation-in-part application.2. **Papers Enclosed Which Are Required For Filing Date Under 37 CFR 1.53(b)(Regular)**

66 pages of specification  
3 pages of claims  
1 page(s) of abstract  
8 sheet(s) of drawings  
☐ formal ☒ informal

3. **Declaration or Oath**X The declaration of the inventor(s) ☒ is enclosed ☒ unsigned ☐ will follow.4. **Assignment**X An Assignment of the invention to GENENTECH, INC. ☐ is enclosed with attached Recordation Form Cover Sheet ☒ will follow.

**5. Fee Calculation (37 CFR 1.16)**

The fee has been calculated as follows:

CLAIMS FOR FEE CALCULATION					
Number Filed		Number Extra		Rate	Basic Fee 37 CFR 1.16(a)
					\$ 750.00
Total Claims	39	- 20 =	19	X \$22.00	\$418.00
Independent Claims	7	- 3 =	4	X \$78.00	\$304.00
Multiple dependent claim(s), if any				+ 250.00	\$0.00
Filing Fee Calculation					\$1,472.00

**6. Method of Payment of Fees**

The Commissioner is hereby authorized to charge Deposit Account No. 07-0630 in the amount of \$1,472.00. **A duplicate copy of this transmittal is enclosed.**

**7. Authorization to Charge Additional Fees**

The Commissioner is hereby authorized to charge any additional fees required under 37 CFR §1.16 and 1.17, or credit overpayment to Deposit Account No. 07-0630. **A duplicate copy of this sheet is enclosed.**

**8. Additional Papers Enclosed**

- ☐ Information Disclosure Statement (37 CFR §1.98) w/ PTO-1449 and citations
- ☐ Submission of "Sequence Listing", computer readable copy and/or amendment pertaining thereto for biological invention containing nucleotide and/or amino acid sequence.
- ☐ Other:

Respectfully submitted,  
 GENENTECH, INC.

By: Timothy E. Torchia  
 Timothy E. Torchia, Ph.D.  
 Reg. No. 37,600

Date: April 19, 1996

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